



UNITED STATES PATENT AND TRADEMARK OFFICE

PCT/PTO

25 APR 2005

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
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10/511,189

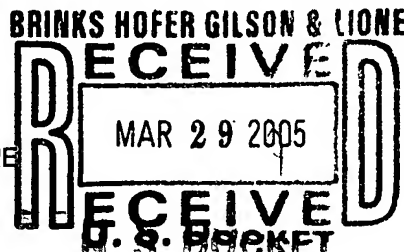
10808/170

00757

BRINKS HOFER GILSON &amp; LIONE

P.O. BOX 10395

CHICAGO, IL 60610



INTERNATIONAL APPLICATION NO.

PCT/DE03/01304

IA. FILING DATE

PRIORITY DATE

04/17/2003

04/19/2002

CONFIRMATION NO. 1840  
 371 FORMALITIES LETTER  
 \*OC000000015534928\*  
 \*OC000000015534928\*

Date Mailed: 03/23/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/12/2004
- English Translation of the IA filed on 10/12/2004
- Copy of the International Search Report filed on 10/12/2004
- Information Disclosure Statements filed on 10/12/2004
- Oath or Declaration filed on 10/12/2004
- Request for Immediate Examination filed on 10/12/2004
- U.S. Basic National Fees filed on 10/12/2004
- Priority Documents filed on 10/12/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN 1 (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,189	PCT/DE03/01304	10808/170

JC10 Rec'd PCT/PTO 25 APR 2005

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HOFFER  
GILSON  
& LIONE

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8.  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450, on the date: April 22, 2005 Name: Scott W. Brim Signature: SAW.B.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Hichem Abdallah et al.

Appln. No.: 10/511,189

October 12, 2004

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned



For: SEMICONDUCTOR COMPONENT WITH INTEGRATED CAPACITANCE STRUCTURE HAVING A PLURALITY OF METALLIZATION PLANES

Attorney Docket No: 10808/170

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal (and copy)(2 pages); Copy of Executed Declaration (3 pages) Copy of Recordal of Assignment filed on December 1, 2004 (2 pages); Copy of Returned receipt postcard dated December 6, 2004; and Copy of Notification of Missing Parts Requirements (2 pages)
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total	0	Minus	0	0	x \$25=	0.00		x \$50=	0.00
Indep.	0	Minus	0	0	x 100=	0.00		x \$200=	0.00
First Presentation of Multiple Dep. Claim					+\$180=	0.00		+ \$360=	0.00
					Total	\$0.00		Total	\$0.00

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment to Deposit Account No. 23-1925.

Respectfully submitted, 01 FC:1617 130.00 DA

SAW.B.  
Scott W. Brim  
Registration No. 51,500

April 22, 2005

Rec'd PCT/PTO 25 APR 2005

I hereby certify that this correspondence is being sent via the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandra, VA 22313-1450 on,

April 22, 2005  
Date of Deposit

Scott W. Brim, Reg. No. 51,500  
Name of Applicant, Assignee or  
Registered Representative

Signature

April 22, 2005  
Date of Signature

Attorney Docket No. 10808/170

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Hichem Abdallah et al.

Serial No.: 10/511,189

Filing Date: October 12, 2004

For: SEMICONDUCTOR  
COMPONENT WITH  
INTEGRATED CAPACITANCE  
STRUCTURE HAVING A  
PLURALITY OF METALLIZATION  
PLANES

Examiner: Not Yet Assigned

Group Art Unit No.: Not Yet Assigned

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandra, VA 22313-1450

Dear Sir:

On March 23, 2005, the United States Patent and Trademark Office mailed a Notification of Missing Requirements under 35 U.S.C. §371 in the United States

Designated / Elected Office (DO/EO/US) requesting an executed oath or declaration from the inventors. Applicants believe this notification was mailed in error. On December 1, 2004, an executed Declaration and Power of Attorney was mailed to the USPTO for U.S. Pat. App. No. 10/511,189 (attached as Exhibit A). The executed Declaration and Power of Attorney was received by the USPTO on December 6, 2004, as evidenced by the stamped postcard attached as Exhibit B.

Due to the fact an executed Declaration was previously filed with the USPTO, Applicants believe the Notification of Missing Requirements was mailed in error and that a new executed Declaration is not necessary. Additionally, Applicants believe U.S. Pat. App. No. 10/511,189 is entitled to a priority date under 35 U.S.C. 102(e) of December 1, 2004 when the executed Declaration was filed with the USPTO. If there are any questions concerning this Response, office personnel is asked to phone the undersigned attorney at (312) 321-4200.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Scott W. Brim", is written over a horizontal line.

Scott W. Brim  
Registration No. 51,500  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
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